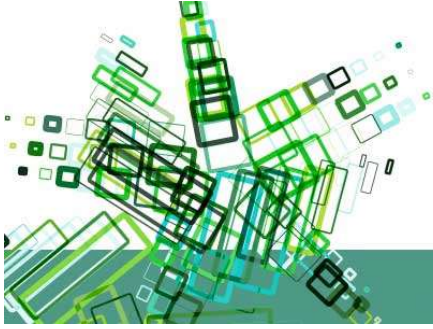




DAY ONE: Tuesday 14 May 2024

8.30am	Registration, tea and coffee	
9.00am	Welcome Hamish Dee, Chair, SIAA	Conference opens Savoy 1 & 2
9.10am	Plenary Brooke Juniper, CEO, Sage David Rosen, Head of Wealth Management Investment Platforms, Morgan Stanley US Alex Vynokur, CEO, BetaShares Moderator Andrew Varlamos, CEO/Co-founder, OpenInvest	Market innovation — giving investors what they don't even know they want What types of services and products do current and potential investors need? Where are the gaps — and the opportunities — in the current retail and HNW investing environment? Is Australia falling behind on innovation when hybrid advice models and digital services are taking off in overseas markets? Will the stockbroking and investment advice industry be at the forefront of change and innovation as in the past, or will new players take over? Savoy 1 & 2
9.50am	Plenary Juanita Knights, Partner, Wealth Advisory, Deloitte Facilitator Andrew Varlamos, CEO/Co-founder, OpenInvest	Building a future-ready investment firm Global megatrends are reshaping the landscape of the global wealth management industry and redefining investor needs. To stay ahead of this industry shift, investment management firms need to revisit their strategies with a greater emphasis on driving investment opportunities across wealth levels; meeting diverse clients with diverse advisers; digitally enabling functionality for advisers and clients; and driving innovation through AI and competitor analysis. Savoy 1 & 2
10.20am	Plenary Judith Fox, CEO, SIAA Michelle Huckel, Policy Manager, SIAA	SIAA update Hear from SIAA's CEO and Policy Manager about the role the Association plays in providing a strong voice for members, CPD and direct relationships with policy makers and regulators; advocacy wins and current issues. Savoy 1 & 2
10.50am	Morning tea	
11.30am	Concurrent session Panel George Deva, National Head of Private Wealth, Shaw and Partners James Dickson, Managing Director, Oceanic Consulting Group Patrick Salis, CEO, AUSIEX Moderator Cilla Boreham, Non-executive	Managing risk for profitable growth The stockbroking and investment advice industry has contended over some years with rapid technological change; increased digitisation and advanced analytics; a shifting geopolitical environment; and significant regulatory changes. How can the risk function play a pivotal role in this ongoing transformation and help the business navigate and transition from responding to change to enabling sustainable growth?



Director, CommSec; Impact Funds
Management; Yarra Funds
Management

Savoy 1 & 2

11.30am Concurrent session

Regina Liu, Managing Director, J.P.
Morgan Asset Management

Facilitator

Karren Vergara, Senior Journalist,
Financial Standard

Accessing investment opportunities beyond Australia

The momentum in ETF flows has continued to be eye catching. By the end of 2022, the global ETF space had reached USD 11 trillion AUM. If it continues to grow at the current pace, assets are set to double by 2027. This session will deep dive into the need for investors to start with a strong core global foundation within their portfolios with the aim to generate capital growth. Hear how a 'best-ideas' international equities strategy can provide investors with an exposure to a core global investment, investing in companies with higher quality earnings growth at a lower valuation – a persistently reliable alpha engine that maximises research insights but at a relatively low cost.

Savoy 3

**12.10pm Concurrent session
Panel**

Greg Hansen, Executive, Group
Strategy, HUB24

Luke Laretive, CEO, Seneca

Owen Raszkieviczk, Founder, Rask

Moderator

Peter Worn, Joint Managing
Director, Finura Group

Are wealth management firms meeting the demands of a changing investor demographic?

The past few years have seen a remarkable shift in the profile of investors. Investing apps are enabling more people to trade in global markets and the world's wealth is continuing to transfer to the next generation. The government's Delivering Better Financial Outcomes announcement in response to the Quality of Advice Review will inevitably see not only the entry of super funds to advice, but also banks. How are wealth management firms managing this transition and are they meeting the demands of a changing investor demographic? In an AI-powered world, the opportunities are endless, but can wealth management firms capitalise or will new advice models from digital start-ups gain ground? What needs to change in existing business models?

Savoy 1 & 2

**12.10pm Concurrent session
Panel**

Ben Phillips, Head of Product
Development, Cboe Global Markets
- Australia

Brendon Tai, Head of Markets
Development, NZX

Tae Yoo, Managing Director, Global
Client Development, HKEX

Moderator

Judith Fox, CEO, SIAA

An APAC view from the exchanges

- Investor behaviour — any interesting trends?
- Impact on APAC of the US going live with T+1
- Technology upgrades and impact of same
- Opportunities and risks of moving to T+1 in APAC time zone

Savoy 3

12.50pm Lunch

1.50pm Plenary session

Amanda Boyce, Head of Advice,
Euroz Hartleys

Jonathan Gordon, Partner, Ashurst

Andrew Gregory, Head of Advice
and Education, UniSuper

Moderator

Andrew Bird, Head of Advice,
JBWere

Financial advice reform: risks and opportunities

Everyone agrees that access to good advice for by more Australians is required. The government’s reform package allows financial institutions and superannuation funds to expand their advisory powers with the creation of a new class of financial advice provider. The licensee will be wholly responsible for the advice provided under a new best interests duty. How will a fiduciary duty apply when the obligation to provide advice sits with the licensee? Will the introduction of a new class of adviser competitively disadvantage wealth management firms whose advisers have met a higher standard of education? Or are the consumers seeking simple advice from product issuers never likely to be future clients? Can relevant provider firms utilise this new category of adviser, providing a pathway to becoming a professional adviser? These questions and more will be discussed during this session.

Savoy 1 & 2

2.30pm Plenary session

Panel

Craig Keary, Chief Executive Officer,
Selfwealth

Karin Setchell, General Manager –
Product, CommSec

Adam Smith, CEO, Saxo Markets

Moderator

Jon Howie, CEO, Stake

Online self-directed investing: opportunities in 2024

As the Quality of Advice regulatory changes evolve, what are the implications for digital advice and model portfolios for the online self-directed investor? What are the opportunities for embedding financial literacy in the customer experience? Is there more to do on the diversification front through equities, ETFs and traditional asset classes?

Savoy 1 & 2

3.10pm Afternoon tea

3.40pm Plenary session

Helen Lofthouse, Managing Director
& CEO, ASX

Interviewer

Phil Baker, Finance journalist and
media consultant

Fireside chat with ASX’s Helen Lofthouse

Hear from the CEO of ASX about the current listings environment, progress on the CHES replacement project and upcoming activity, the industry discussion on T+1 and ASX’s recent submissions on relevant policy reforms.

Savoy 1 & 2

4.10pm Plenary session

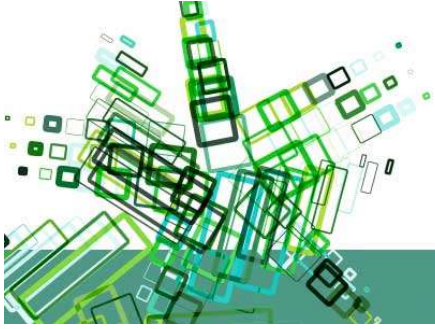
Panel

Nicholas Davis, Industry Professor
Emerging Technologies and Co-
Director, Human Technology
Institute, University of Technology
Sydney

Navigating the complexities of AI in financial services

Opportunities exist for AI to assist with serving the best interests of clients, but there are commercial, operational and regulatory risks inherent in its use. How can Market Participants and advisory firms incorporate AI into their businesses in ways best suited to their clients’ needs? How do they navigate the hopes and fears attached to AI? What market and regulatory elements should market players be exploring today to take advantage of AI?

Savoy 1 & 2



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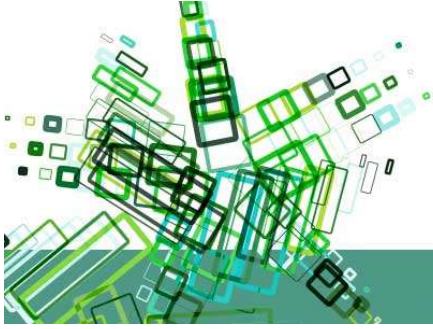
14-15 May 2024 Grand Hyatt, Melbourne

CONFERENCE PROGRAM

4.50pm **Conference close** Closing remarks

5.00pm — 7.30pm **Networking drinks sponsored by SecuritEase**
Spice Market, Beaney Lane





DAY TWO: Wednesday 15 May 2024

7.00am Executive breakfast | Invitation only

Advocacy update from SIAA CEO and heads of firms' discussion about industry matters.

Connaught Room

7.00am Holley Nethercote Compliance breakfast | Member only

Paul Derham, Partner, Holley Nethercote

Michelle Huckel, Policy Manager, SIAA



Bristol 2 Room

9.00am Welcome

Judith Fox, CEO SIAA

Savoy 1 & 2

9.05am Plenary session**Panel**

David Ferrall, CEO & Managing Director, FinClear

Paul Conn, President, Computershare Global Capital Markets

Mark Wootton, Client Line Co-Head, Financial Intermediaries & Corporates, Asia-Pacific, BNP Paribas

Moderator

Clive Triance, Group Executive, Securities & Payments, ASX

Market structure evolution: What next and is Australia ready?

With T+1 being implemented in the US and other markets, and post-trade innovation occurring in various markets, is Australia at risk of being left behind? CHES replacement is still some time in the future. There is great uncertainty as to what this means for T+1 implementation in this market. Is the innovation that is taking place elsewhere putting Australia at risk if our market treads water?

Savoy 1 & 2

9.50am Plenary**Panel**

Dr Laura Dezwaan, Senior Lecturer, QUT

Sarah King, Head of Client Care & Advice, Stockspot

Marcia Senn, Head of Financial Planning, Morgans Financial

Moderator:

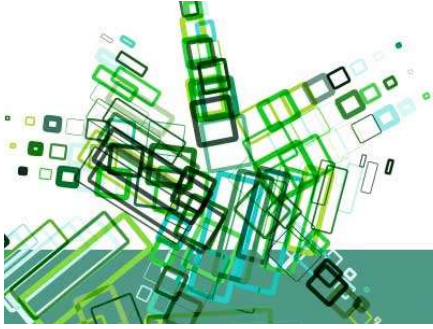
Tahn Sharpe, Managing Editor, The Inside Network

Harnessing the power of educating investors

Financial literacy skills need to be imparted from a very young age, tying basic financial health with more advanced investment and retirement actions. Investment offerings need to be accompanied by financial education. In the latest ASX Investor Study 43% of Australians believe they do not have enough money to invest, even though numerous options for low-fee investing and investing with nominal amounts exist. Are current education approaches from wealth management and DIY trading platforms resonating with individual investors? How can they partner with education providers at primary, secondary and tertiary levels to shift the dial? What else should they be considering in their education offering?

Savoy 1 & 2

10.30am Morning tea



11.00am Concurrent session

Fireside chat

Brad Gale, Chief Executive Officer,
Wilsons Advisory

Kate Galvin, CEO, Victorian Funds
Management Corporation

Rebecca Hill, Head of Wealth
Management Australia, Morgan
Stanley

Moderator

Michelle Inns, COO, LGT Crestone

Women and wealth: readying firms for the firepower of female clients

Women will control the bulk of the world's wealth over coming decades, thanks to a combination of inheritance and greater financial independence. Women make up almost half of the participants on various personal finance and investing platforms, with a focus on building investment portfolios. And it is no surprise that women often prefer to see a female adviser. What do stockbroking and investment advice firms need to do to improve gender balance to position themselves to attract female clients? Victorian Funds Management Corporation achieved 43% gender parity in their investment teams — what worked and what didn't? What can stockbroking and investment advice firms do to attract and retain women advisers?

Savoy 1 & 2

11.00am Concurrent session

Panel

Tim Buckley, CEO, Climate Energy
Finance

Rob Koh, Equity Research Analyst,
Utilities, Infrastructure, Energy &
ESG, Morgan Stanley

Sonia Teitel, Co-Managing Director,
Renewables Australia, Octopus
Investments

Investment opportunities in Australia's energy transition

The government acted in 2023 to put in place policy settings to promote innovation and investment in renewable energy and transform our electricity system. What are the risks and opportunities for utilities and commodities? Where are the regulatory barriers to investment and what change is on the horizon? Where should investors look for returns that can sustain our national prosperity in the decades to come?

Savoy 3

11.40am Concurrent session

Panel

Jason Hoang, CEO – Trading &
Market Data, Iress

Craig Mason, Executive Chairman,
Complii FinTech Solutions

Matthew Nicholls, Head of Advice,
Wilsons Advisory

Moderator

Aanya Madhani, South APAC Sales
Lead – Digital Wealth Solutions, LSEG

Building the future of wealth through tech

Capital markets and wealth management are evolving rapidly, and technology is playing an increasingly important role. Investors can track their portfolios in real time. Human experience and judgment can contribute to and expand upon accurate, insightful data analysis utilising artificial intelligence and machine learning to open new opportunities to engage with investors. And technology assists with compliance, risk, operational and capital raising efficiencies. Hear from a panel of experts on how best to harness the power of technology to meet the needs of licensees and their clients.

Savoy 1 & 2

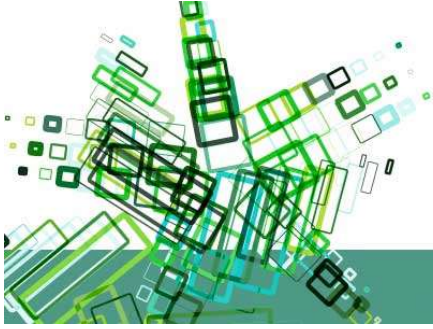
11.40am Concurrent session

Julie Ballard, Director Channel
Management, S&P Down Jones
Indices

Stephen Godfrey, Senior Private
Wealth Adviser, Ord Minnett

Navigating the future: Unveiling the evolution of Australia's ETF market and opportunities for growth

As the Australian ETF market closes in on \$200bn in funds under management, our panel of ETF experts will peer into the future trends that will shape the ETF market for the next decade, and discuss key considerations for financial advisers using ETFs for client portfolios. From core portfolio building blocks, to active strategies



Tamara Haban-Ber Stats, Director,
BlackRock

Moderator

Rory Cunningham, Senior Manager,
Investment Products, ASX

and tactical bets on thematic, ETFs appear to cover much of what financial advisers and investors need to construct quality portfolios. However, some would argue that the Australian market is behind our international peers and there are opportunities for further growth and innovation in our market. Hear about predictions of possibilities and potential challenges awaiting the ETF industry over the next decade.

Savoy 3

12.20pm Lunch

**1.20pm Concurrent session
Panel**

Lee Garf, General Manager, NICE
Actimize

James Hammond, Vice President of
Business Development – APAC,
FlexTrade Systems

Moderator

Te Okeroa, Head of Sales, Trading
and Customer Relationships, AUSIEX

Utilising AI at every stage of trading

ASIC's current focus on operational and technological resilience has shone a spotlight on the need for market participants to identify disorderly trading and the potential for market abuse and manipulation to ensure they are not in breach of the market integrity rules. As firms move to adopting cutting-edge technology solutions and leveraging automation technology, unravelling where and how to harness the immense power of AI is central to both innovation and compliance. Hear from experts on a key issue in electronic trading.

Savoy 1 & 2

**1.20pm Concurrent session
Panel**

Prasad Patkar, Head of Investments,
Platypus

Vihari Ross, Portfolio Manager,
Antipodes

Moderator

Peter Bryant, Managing Director,
Morningstar

Value won in 2022, growth won in 2023, who will win in the next three years?

Reflect on the winners of 2022 and 2023, dissecting the strategic elements that shaped them. The panellists will look ahead to the next three years, identifying contenders and future winners in a dynamic business world. Amid shifting dynamics and tech advances, the panellists will engage in a compelling discussion on whether value or growth will be favourable in the years to come in equity markets.

Savoy 3

**2.00pm Concurrent session
Panel**

Sarah Abood, CEO, FAAA

Peter Burgess, CEO, SMSFA

Judith Fox, CEO, SIAA

Changing the education standard for financial advisers

The number of advisers has almost halved since 2019. Current new entrant numbers are very low, and the future pipeline looks even lower. Something had to change to attract more new entrants to the profession of financial adviser. Hear from the CEOs of three advice associations who have put forward a joint proposal to the government about updating the education standard.

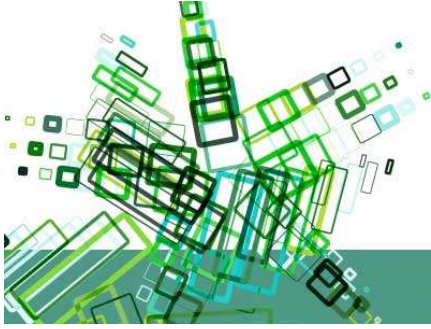
Savoy 1 & 2

**2.00pm Concurrent session
Panel**

Siobhan Blewitt, CEO & Portfolio
Manager, Frais Capital

Impact investing and intergenerational wealth transfer

Family wealth strategy is shifting from a conversation centred primarily around markets to a goals or values-based approach. This approach to asset allocation places the family at the core of the investment strategy, encouraging intergenerational communication



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Paul Heath, Founding Partner & CEO,
Koda Capital

Louise Tran, Head of OzHarvest
Ventures

Moderator

Karren Vergara, Senior Journalist,
Financial Standard

and better preparation for wealth inheritance. How can advisers build portfolios that help families achieve their goals? How can wealth managers aid families to build a unifying vision for their wealth in instances of unaligned values and political views?

Savoy 3

2.40pm Afternoon tea

3.10pm

Plenary

Simone Constant, Commissioner,
ASIC

Interviewer

Judith Fox, CEO, SIAA

Hear from an ASIC Commissioner

Financial markets are fast-paced and constantly evolving. The regulator needs to respond to technological innovation, artificial intelligence and the desire of Australians to invest to generate wealth, while ensuring consumer protections. What are ASIC's priorities in market supervision? What role can ASIC play in shaping positive outcomes for markets and investors?

Savoy 1 & 2

3.50pm

Judith Fox, CEO SIAA

Closing address

3.55pm

Conference ends