

STOCKBROKERS AND INVESTMENT ADVISERS IMPACT ON THE AUSTRALIAN ECONOMY

Stockbrokers and investment advisers play an important role in the Australian economy by connecting businesses seeking capital with investors seeking investment opportunities. SIAA members operate on the financial frontlines, where insight meets action, helping Australians navigate complexity and make decisions that shape long-term outcomes.

In 2025, SIAA's members made the following impact on the Australian economy

5.2 million retail clients

708,000
full-service advisory

\$508 billion
funds under personal financial advice

27,000
SMSFs under \$10m for which wholesale
investment advice is provided

4.5 million
online broker

130,000
wholesale clients

27,000
institutional clients

Impact on capital formation (last 12 months)

\$17 billion
capital raised for IPOs

Number of transactions
72
IPOs

\$13 billion
other new issuances

964
Other new issuances

Source: SIAA Principal Member Survey, FY2024–25

Activities undertaken by stockbroking and investment advisory firms

- | | | |
|--------------------------|---|------------------------------------|
| ✓ Stockbroking | ✓ Clearing and settlement | ✓ M&A |
| ✓ Investment advice | ✓ Research | ✓ Corporate advisory |
| ✓ Wealth management | ✓ Equity capital markets (ECM) | ✓ Investment solution/
platform |
| ✓ Financial planning | ✓ Exchange traded options (ETOs)
and derivatives | |
| ✓ Execution-only broking | | |



Stockbrokers and Investment
Advisers Association

Building prosperity for Australian investors



SIAA Principal members

Argonaut Securities
AUSIEX – Australian Investment Exchange
Baker Young Stockbrokers
Bell Financial Group
BNP Paribas Securities Services
Burrell Stockbroking Wealth Management
Canaccord Genuity (Australia)
CMC Markets Stockbroking
Commonwealth Securities
E&P Financial Group
Euroz Hartleys
Finclear Services Australia
FNZ Securities
GBA Capital
Instinet Australia
JBWere
Joseph Palmer & Sons
LGT Wealth Management
Morgans Financial
Morgan Stanley Wealth Management
Morrison Securities
Openmarkets Australia
Ord Minnett
PhillipCapital
Shaw and Partners
Taylor Collison
Totality Wealth (formerly Saxo Capital
Markets Australia)
WealthHub Securities
Webull Securities

FIND OUT MORE ABOUT SIAA

and how we can support you.

Visit www.stockbrokers.org.au/interested-in-a-career-in-investment-advice

www.stockbrokers.org.au