

## SIAA COURSES: SUMMARY OF ASSESSMENTS (March 2023)



Stockbrokers and Investment  
Advisers Association

Serving the interests of investors

Topic	Securities & Managed Investments	Core 1 – Securities & Managed Investments	Fundamentals of Securities	Accredited Derivatives Adviser – Level 1 (ADA 1)	Accredited Derivatives Adviser – Level 2 (ADA 2)	Margin Lending	Foreign Exchange
Questions	54	60	20	60	50	40	40
Duration	2 hours	2.25 hours	1 hour	2.25 hours	2.25 hours	1.75 hours	1.75 hours
Multiple Choice	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Open/Closed Book	Closed	Closed	Closed	Closed	Closed	Open/Closed (Licensee discretion)	Closed
Pass Mark	80%	70%	80%	80%	80%	80%	80%
ASIC RG146	Securities; Managed Investments; Generic Knowledge at Tier 1 level	Securities; Managed Investments; Generic Knowledge at Tier 1 level	Securities; Generic Knowledge at Tier 1 level	Derivatives at Tier 1 level	Derivatives at Tier 1 level	Margin Lending at Tier 1 level	Foreign Exchange at Tier 1 level
CPD Professional Standards	16 hours	25 hours	10 hours	20 hours	20 hours	10 hours	10 hours

### SKILLS ASSIGNMENT

Topic	Securities & Managed Investments	Core 1 – Securities & Managed Investments	Fundamentals of Securities	ADA 1	ADA 2	Margin Lending	Foreign Exchange
Case Study	n/a	Case Study	n/a	Case Study	n/a	n/a	n/a
Pass Mark	n/a	80%	n/a	80%	n/a	n/a	n/a

Notes: There are word limits for case studies, please refer to the case study document - candidates should review and adjust responses to be as concise as possible.