

SIAA2026

Investing in **growth & resilience**

19–20 May 2026 | Park Hyatt Melbourne

CONFERENCE PROGRAM: DAY ONE: Tuesday 19 May

8.30am REGISTRATION, TEA AND COFFEE

8.50am **Welcome**

Hamish Dee, Chair, SIAA

9.00am **Plenary: Key macroeconomic trends: what to expect**

This session provides a timely overview of the global and Australian economic outlook. It will examine the underlying fragilities shaping the next phase of the cycle, including geopolitical tensions, trade fragmentation, fiscal pressures and shifting capital flows. The discussion will highlight implications for inflation, growth, policy settings and market volatility, with a focus on risks and opportunities facing Australia's open economy.

Diana Mousina, Deputy Chief Economist, AMP

9.30am **Plenary: Digital advice and the hybrid model**

Hear from Will Trout about the findings of his survey of the hybrid model in the US. How are advisers in the US progressing with a flexible, client-centric model where consumers can seamlessly transition between digital self-service tools and a human adviser based on their needs and the complexity of the task at hand? What are the implications for the Australian advice model?

Will Trout, Director, Securities & Investments Practices, Datos Insights

10.10am **Plenary: Hear from the Minister TBC**

10.40am **Supporting our community through the Australian Stockbrokers Foundation**

Richard Purseglove, ASF Director

10.50am MORNING TEA (30 MINS)

11.20am **Cybersecurity and operational resilience**

With a daily trading average of over \$15 trillion across equities, fixed income and other securities globally, resilience, regulatory compliance and data excellence are taking priority in business strategies for firms in Australia's capital markets. AI use presents new risks for market stakeholders. Once quantum computers become more powerful they will be able to break existing encryption schemes within a day or two. ASIC is focused on operational resilience. What lessons have been learnt and what improvements can be made?

Panel:

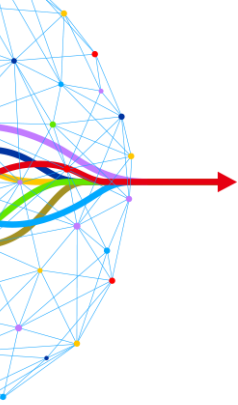
Shane Bell, Co-founder & Executive Officer, NSB Cyber

Private markets, fixed income and alternative investments

Valuations in public equities appear stretched in many areas, while bond market sentiment is fragile. The adoption of model portfolios that integrate alternative strategies has gained momentum globally and is influencing Australian investment platforms. ASIC has increased private market scrutiny, particularly private credit, due to concerns about risk, while wealth managers say meeting client demand for unlisted assets will be a major factor in growth plans. How do advisers and licensees navigate this complexity?

Panel:

Frank Danieli, Managing Director & Group Executive, Head of Global Credit Solutions, MA Financial Group



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<p>Martijn Verbree, Group Security Lead, Cyber, CBA</p> <p>Moderator: Benjamin Harrington-Lowe, Global Head of Dealing, FNZ Markets</p>	<p>Jake Fisher, Managing Director, Cliffwater</p> <p>Helen Mason, Fund Manager – Fixed Income, Schroders</p> <p>Moderator: Te Okeroa, General Manager – Advised Broking Services, AUSIEX</p>
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12.00pm **Digital assets, stablecoins and tokenisation**

Investor interest is moving towards structured digital asset products including stablecoins, tokenised real-world assets and early forms of tokenised deposits. With one in eight Australians holding digital assets, the market is moving from speculative crypto activity to regulated investment products backed by clearer policy settings. Tokenisation expands access to assets and accelerates settlement, creating opportunities for brokers, issuers and custodians. As Australia progresses regulatory reforms, what challenges and opportunities arise for intermediaries operating within licensed and supervised markets?

Panel:

Caroline Bowler, BTC Markets Board member

Dan Jowett, CEO, Openmarkets Group

Jonny Wilkinson, FCX Consultant

Moderator: **Liam Madden**, Legal and Regulatory Consultant

Emerging markets – Why accessing EM is now more attractive than ever

Accessing emerging markets (EMs) is more attractive than ever for Australian investors due to sophisticated, low-cost ETFs providing instant diversification; better fundamental economic conditions (lower debt, robust growth, strong tech); a weaker US dollar creating tailwinds; and greater transparency/stability from EM nations which are making prudent reforms. EM offer all of this in addition to faster growth, cheaper valuations, and exposure to differentiated innovation (such as Asian tech) compared to developed markets. Hear from experts how to support client portfolios with this asset class.

Panel:

Cameron Robertson, Portfolio Manager – Asia strategies, Platinum

Alexander Treves, Managing Director, Asia Head of Investment Specialists for Emerging Markets and Asia-Pacific equities, J. P. Morgan Asset Management

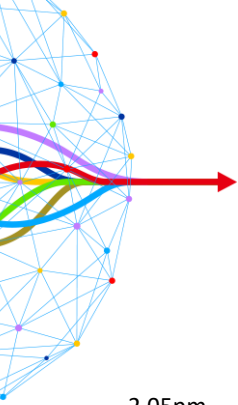
Moderator: **Andrew Bird**, Executive, JBWere Advice

12.40pm **LUNCH (55 MINS)**

1.35pm **Plenary: SIAA update**

Hear from SIAA’s CEO and Policy Manager about the role the Association plays in providing a strong voice for members, CPD and direct relationships with policy makers and regulators; advocacy wins and current issues.

Michelle Huckel, Policy Manager & **Maria Lykouras**, CEO, SIAA



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2.05pm **Plenary: A critical supplier has gone down: what happens next?**

Strap in for a fire drill on how to deal with an operational disruption to the trading of securities. How does your BCP respond? Have you done the scenario planning to allow you to move fast to deal with what could be a catastrophic issue? What role does the regulator play? The panel will respond from their perspectives, but the audience will also be involved in choosing options on how to respond. Let's test our resilience!

Calissa Aldridge, Executive Director, Markets Group, ASIC

Ben Jackson, General Manager, Market Operations, ASX

Karl Jancar, National Head of Operations, Shaw and Partners

Andrew Jappy, Executive General Manager, APAC, Iress

Facilitator: **James Dickson**, Managing Director, Oceanic Consulting Group

2.50pm **AFTERNOON TEA (30 MINS)**

3.20pm **Plenary: Ask ASX**

ASX is advancing a significant transformation agenda, including the delivery of the CHES project, strengthening operational and technology resilience, and evolving its markets and listings settings, in an environment of increased regulatory scrutiny. This Q&A session gives participants the opportunity to put questions directly to ASX CEO Helen Lofthouse in her final month in the role, alongside Acting Group Executive Securities and Payments Andrew Jones and Group Executive Markets and Listings Darren Yip. A rare opportunity for market participants to engage directly with ASX leadership on the priorities, pressures and decisions shaping Australia's markets.

Andrew Jones, Acting Group Executive, Securities and Payments, ASX

Helen Lofthouse, CEO, ASX

Darren Yip, Group Executive Markets, ASX

Moderator: **Maria Lykouras**, CEO, SIAA

3.50pm **Plenary: Advice, authority and unintended harm**

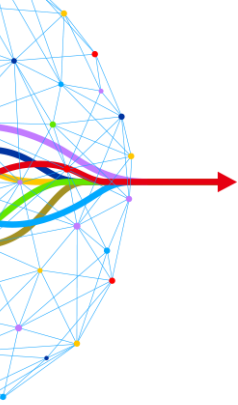
Most advisers will support a client experiencing financial abuse at some point in their career - often without realising that's what they are seeing. This keynote explores how adviser authorities, joint investment strategies, platform access and client instructions can be weaponised by one party to a relationship.

Catherine will introduce a financial safety by design mindset that helps advisers spot how investment and trading features can be misused, see why regulators are starting to care, and understand what's already within your control to protect investors and investments - without expecting you to be a social worker.

Catherine Fitzpatrick, Founder & CEO, Flequity Ventures

4.20pm **Plenary: The future of platforms**

Platforms are moving beyond their traditional remit to become integral partners for stockbroking and wealth advice firms. As stockbroking firms evolve their service models to meet rising client expectations and increasing portfolio complexity, platforms are expanding into private client solutions, including whole-of-wealth administration and reporting through a single portal. This includes non-custodial administration services, individual HIN structures, access to alternative investments, and wholesale-focused propositions, enabling advisers to outsource administrative complexity while accommodating more sophisticated client



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needs and supporting intergenerational wealth strategies. Hear how leading platforms are enabling this shift, helping firms broaden their service offering and future-proof their business.

Jason Entwistle, Director – Strategic Development, HUB24

Crystal Hanna, General Manager – Financial Products, Netwealth

Recep Peker, Managing Director, SuitabilityHub

Moderator: **Keith Ford**, Editor, IFA

5.00pm **Closing address**

Maria Lykouras, CEO, SIAA

5.00pm — 7.00pm **Networking drinks** sponsored by **SecuritEase**

Trilogy Room, Park Hyatt

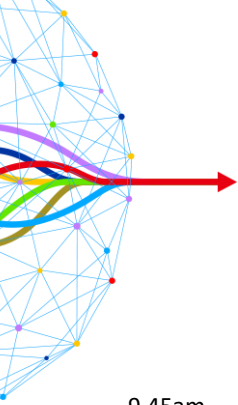
DAY TWO: Wednesday 20 May 2026

7.00am **Executive breakfast – INVITATION ONLY**
Update from SIAA CEO and heads of firms' discussion about industry matters.

Compliance breakfast – MEMBER ONLY
Paul Derham, Managing Partner, Holley Nethercote
Michelle Huckel, Policy Manager, SIAA

9.00am **Welcome**
Maria Lykouras, CEO, SIAA

9.05am **Plenary: Technology-enabled advice**
From AI workflows streamlining processes for paraplanners to advisers being able to model the potential impact of macroeconomic events and market scenarios like pandemics on client portfolios, technology is reshaping wealth management. How can advanced portfolio construction capabilities and AI enable more personalised and timely advice?
Matt Esler, Managing Director & CEO, Padua Solutions
Chantal Giles, Head of APAC Strategic Clients & Business Development, Blackrock
Steven Goh, Co-founder, FabyI
James Hammond, Vice President of Business Development, Flextrade
Moderator: **Amanda Boyce**, Head of Advice, Euroz Hartleys



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9.45am

Plenary: Is the market efficient and competitive?

A vibrant public market that represents the broader economy allows Australians to generate wealth outside of their superannuation or house. Ideas have been put forward to reinvigorate public markets. Will they make a difference? Meanwhile, the move to 24/7 trading hours help exchanges compete with continuously operating digital venues and create opportunities for new products, such as overnight futures, and improvements in clearing, settlement, and risk management. A competitive dynamic accelerates industry innovation and strengthens the market ecosystem. Is Australia keeping up with the dynamic?

Richard Carleton, CEO, Canada Securities Exchange

Marco Chung, Director, Sales & Relationship Management, NYSE

Darren Yip, Group Executive of Markets and Listings, ASX

Moderator: **Hannah Oakhill**, Executive nabtrade, NAB Private Wealth

10.25am

Plenary: Hear from an ASIC Commissioner

A key strategic focus in 2026 for ASIC is strengthening operational digital and data resilience and safety. This covers stress events, geopolitical risks, and crisis preparedness; ASX critical infrastructure; cyber resilience; and use of artificial intelligence. The future of financial markets is also a key issue. Hear from an ASIC Commissioner about what ASIC is seeing in these areas and how Australian capital markets are faring.

Simone Constant, Commissioner, ASIC

Interviewer: **Maria Lykouras**, CEO, SIAA

10.55am

MORNING TEA (30 MINS)

11.25am

Keeping up with trends in trading

Capital markets are rapidly evolving, with firms today navigating increasing trading volumes and market volatility, faster settlement times, and growing interest in 24-hour trading. A game-changer in the trading and wealth industry has been the rise of many low-cost / ultra-low brokerage digital trading services. To successfully navigate these market dynamics, capital markets firms must aim for innovation, agility, and resilience—and be prepared to leverage increasing amounts of multi-modal data—across the entire trade lifecycle. What is the future of equities trading in this changing environment?

James Giarratano, Head of Sales, Cboe

Chris Hill, National Manager, Strategic Relationships, AUSIEX

Gopala Subramaniam, CEO, NOVA CMX2

Moderator: **TBA**

The impact of Shield and First Guardian

The failure of Shield and First Guardian revealed problems across the ecosystem from the lead generators through to the platforms. How have these failures impacted our industry and the relevant players. Does this change the way advice businesses think about their APL? Will products and platforms take on accountability to identify investment and advice quality issues before they become systemic problems? Will licensees be able to sustain the impact of increased cost of the industry levy due to these failures?

Hamish Dee, Director, Morgans Financial

David Hutchison, General Manager - Managed Portfolios & Investments, North, AMP

Michael Mavromatis, Partner, Holley Nethercote Lawyers

Moderator: **Michelle Huckel**, Policy Manager, SIAA

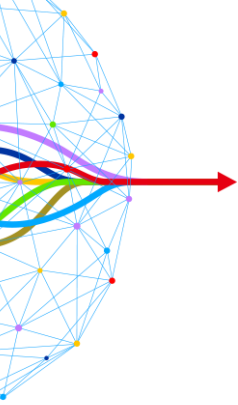
12.05pm

Global small caps vs domestic small caps? Where to invest?

With large caps trading at near record valuation both in Australia and Globally, investors are looking to small companies where you can find growth at a reasonable valuation. Hear the discussion on where

Managing the great wealth transfer

The great intergenerational wealth transfer that Australia faces involves more than just money; it's about values, purpose, governance, and engaging the next generation, with younger heirs wanting digital experiences but also deep,



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to find the best opportunities in small caps – either locally in Australia or overseas.

James Rodda, Portfolio Manager, Antipodes

Eleanor Swanson, Portfolio Manager, Firetrail

Moderator: **Karren Vergara**, Senior Journalist, Financial Standard

trustworthy human advice for legacy building. Hear from the experts that support these family offices about structured family discussions, educating heirs to understand their roles and responsibilities, and moving to inclusive decision-making for smoother, values-driven transitions. This will include what the Princely Family of Liechtenstein’s 900-year experience can tell us from LGT Wealth Management.

Blake Indian, Director Tax, KPMG

Kaajal Prasad, Head of Family Advisory, LGT Wealth Management

Kim Venter, Director – Family Advisory, JBWere

Moderator: **Arnie Selvarajah**, Group Co-Chief Executive Officer, Bell Financial Group

12.45pm LUNCH (60 MINS)

1.45pm **Plenary – Meet the Shadow Minister**

SIAA’s Michelle Huckel will interview the Shadow Minister with discussion addressing reform of advice regulation; the education standard for financial advisers; and the impact of the CSLR on the industry.

The Hon. Kevin Hogan MP, Shadow Assistant Treasurer, Shadow Minister for Financial Services

Interviewer: **Michelle Huckel**, Policy Manager, SIAA

2.15pm **Plenary: The road ahead: A national study on the stockbroking landscape**

The Australian stockbroking sector is evolving as firms respond to changing client expectations, shifting service models and increasing operational complexity. Praemium will present insights from a national study of Australian stockbrokers and investment advisers, exploring how the industry is operating today and where it is heading in the future.

Andrew Inwood, CEO, Coredata

Denis Orrock, Head of Strategy, Praemium

2.55pm AFTERNOON TEA (30 MINS)

3.25pm **Plenary - Challenges running an advice business**

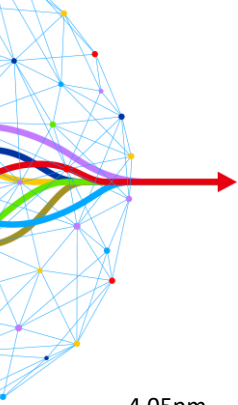
Running an advice business faces major hurdles in regulatory compliance, talent acquisition/retention, client trust/acquisition, tech adaptation, and profitability due to rising costs and market perception issues. Key challenges include complex compliance, attracting skilled advisers, demonstrating value beyond fees, managing growth efficiently, and overcoming public scepticism fuelled by the failures of Shield and First Guardian. How can the leaders of firms navigate this complex maze?

James Macauley, CEO/Managing Director, Morgans Financial

Karl Morris, CEO, Ord Minnett

Chris Webster, National Head of Advisers, Executive Director - Wealth Management, Canaccord Genuity

Moderator: **Maria Lykouras**, CEO, SIAA



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4.05pm

Plenary: Market Perspectives - Ms. Perception: Women and Investing

The amount of wealth controlled by women is increasing rapidly and is projected to grow significantly by 2030. The number of women entering the market is also rising, indicating a positive shift in engagement with investing. The financial services industry must recognise and address the unique needs and perspectives of women investors, moving beyond historical stereotypes of risk aversion and lack of sophistication. What practical strategies for building investment confidence and what educational resources are required to build confidence among women to encourage investing?

Nicole Dunn, Executive Director, Market Team Head, UBS Global Wealth Management

Carolyn O'Reilly, Partner, Perpetual Private

Panellist: **Deon Cavarra**, Director, Wealth Advice, JBWere

Moderator: **Frank Hegerty**, Head of Private Wealth, Ord Minnett

4.45pm

Closing Address

Maria Lykouras, CEO, SIAA

5.00pm

Conference close
