

Looking for accreditation and training with the stockbroking and investment advice industry?



SIAA'S RG146 Courses

The Stockbrokers and Investment Advisers Association's (SIAA's) RG146 accredited courses are uniquely designed for those in the securities and derivatives industry. They set the standard that employers, regulators, and the investing public expect from individuals who are employed within this industry, offering training for:

- ✓ those employed in the securities and derivatives industry providing financial product advice in the course of their work (general advice) or who need an understanding of the industry in which they work
- ✓ customer service representatives providing financial product advice in the course of their work
- ✓ Responsible Managers
- ✓ experienced practitioners for CPD purposes.

Courses

- Core 1: Securities and Managed Investments
- Accredited Derivatives Adviser Level 1
- Accredited Derivatives Adviser Level 2
- Foreign Exchange
- Margin Lending
- Superannuation

SIAA also offers courses which meet the requirements for Market Participants in relation to DTR training.

DTR Accreditation

Under the ASIC Market Integrity Rules (Securities Markets) 2017 Rule 2.5.2 trading participants must have at least one Designated Trading Representative (DTR). Only DTRs may submit trading messages, unless an automated order processing system is used. DTRs must also comply with the Operating Rules and Procedures of the market whose trading platform they are submitting messages into. There are two National DTR Accreditations available.

- National DTR Cash Equities Accreditation (short name: DTR Cash Equities)
- National DTR Derivatives Accreditation (short name: DTR Derivatives)



Accreditation and training

Stockbrokers and Investment Advisers Association



CORE 1: SECURITIES AND MANAGED INVESTMENTS	ACCREDITED DERIVATIVES ADVISER LEVEL 1 (ADA 1)	ACCREDITED DERIVATIVES ADVISER LEVEL 2 (ADA 2)	FOREIGN EXCHANGE
\$790 Ind. Member \$790 Org. Member \$990 Non-Member	\$370 Ind. Member \$380 Org. Member \$390 Non-Member	\$320 Ind. Member \$330 Org. Member \$340 Non-Member	\$470 Ind. Member \$490 Org. Member \$520 Non-Member
1 x Assignment 1 x Online Exam	1 x Assignment 1 x Online Exam	1 x Online Exam	1 x Online Exam
Allow up to 150 hours [^]	Allow up to 150 hours [^]	Allow up to 150 hours [^]	Allow up to 90 hours [^]


MARGIN LENDING	SUPERANNUATION	DTR CASH EQUITIES	DTR DERIVATIVES
\$280 flat price	\$470 Ind. Member \$490 Org. Member \$520 Non-Member	\$690 flat price	\$690 flat price
1 x Online Exam	1 x Assignment 1 x Online Exam	1 x Online Exam 1 x Oral Exam	1 x Online Exam 1 x Oral Exam
Allow up to 90 hours [^]	Allow up to 150 hours [^]	4 weeks on-the-job training with a Senior DTR [^]	4 weeks on-the-job training with a Senior DTR [^]

[^] Suggested study hours per course

Graduates of DTR will receive a Certificate of Completion including Professional Standards CPD hours. Enrolments close at 3.00pm every Monday Sydney time.

For more information or to enrol

 accreditation@stockbrokers.org.au

 02 8080 3200



Stockbrokers and Investment Advisers Association

Serving the interests of investors

www.stockbrokers.org.au/education/accreditations