



WHAT DO I GET FROM MY MEMBERSHIP OF SIAA?

We are committed to championing the profession and empowering it to thrive by providing a voice, education and community.

Advocacy

We regularly meet with government, industry stakeholders and regulators to represent the views of our members.

We develop and implement strategies to address the issues that matter to our profession, including financial services legislation and regulatory frameworks, and demographic and technological changes shaping the sector.

Professional development

We facilitate continuous learning by providing a range of professional development offerings to meet statutory requirements and maintain high standards across the industry.

We provide a supportive framework to enable professional connection and bring the profession together on important issues in a complex stakeholder environment.

By joining you will gain:

- ✓ access to policy makers and regulators
- ✓ legislative updates and input on proposed regulatory changes
- ✓ **tireless advocacy** on your behalf in a challenging regulatory framework
- ✓ guidance for consistent industry practice
- ✓ sharing of non-competitive information, experience and knowledge in a collegiate environment through our committees and working groups
- ✓ access to relevant sector news and information through **SIAA Monthly** and **SIAA Newsroom**, keeping you informed and motivated with current knowledge of our sector
- ✓ opportunities to network with other professionals in your field
- ✓ member discounts on **conference** and events
- ✓ a one-stop-shop to meet **CPD requirements** through our regular free webinars, hybrid conference and professional development workshops.



Stockbrokers and Investment Advisers Association

Serving the interests of investors



SIAA events delivered the following CPD hours for our members in the last 12 months

	Webinars	Workshops	Conference	Total CPD hours delivered	Total required CPD hours
Client care and practice	4.5	0.0	2.9	7.4	5.0
Professionalism and ethics	4.0	3.0	2.9	9.9	9.0
Regulatory compliance and consumer protection	4.5	6.0	3.1	13.6	5.0
Technical competence	8.5	3.0	4.4	15.9	5.0
Tax (financial) advice	0.5	0.0	0.0	0.5	5.0
General	0.0	0.0	1.2	1.2	11.0
TOTAL	22	12	14.33	48.3	40

Enhance your reputation

- ✓ Being a SIAA member brings you the professional recognition and credibility of an established and respected representative body.
- ✓ All our resources are dedicated to promoting the profession of stockbroking and investment advice.
- ✓ SIAA has a clear position on regulation of the profession and is actively taking steps to establish an appropriate regulatory framework that recognises that our members:
 - provide a specialised financial advice service focused on investing and wealth management, and
 - support the Australian economy and Australian businesses through capital raisings and IPOs.
- ✓ SIAA is dedicated to providing excellent customer service. Our office staff are friendly, approachable and knowledgeable.

Representing our members

The Stockbrokers and Investment Advisers Association (SIAA) provides a united voice for the stockbroking and investment advice profession. We represent 7,730 professionals through our Principal members and our Practitioner members. These include

- ✓ retail and wholesale investment advisers
- ✓ institutional advisers
- ✓ legal and compliance staff
- ✓ back office staff
- ✓ corporate finance
- ✓ research roles
- ✓ adviser support roles

HOW TO JOIN?

Joining SIAA is easy. We are here to serve you, our members.

Apply today for membership.

Professional Association membership

If you are an employee of a Principal member of SIAA, a fast-track application process is available, as SIAA is able to rely upon the imprimatur of the Principal member.

If you are not an employee of a Principal member, you will need to provide a one-page summary of your employment history, certified copies of academic record, a National Police check as well as a proposer and seconder from two current SIAA members.

For further information contact

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