

ENROLMENT FORM

Use BLOCK letters when completing this form and please retain a copy for your records.

1. PERSONAL INFORMATION AND CONTACT DETAILS:

Candidate ID (if known):	🗆 Male		□ Female	
Practitioner Member: 🛛 Yes 🗆 No				
Post Nominal (for existing members only:	□ MeSIAA		□ AfSIAA	
Title (Mr, Mrs, Ms, Miss, Other):				
First Name:				
Last Name:				
Home Address:				
	State:		Postcode:	
Home Telephone Number:	Mobile Number:			

EMPLOYMENT DETAILS:

Position Title:		
Organisation Name:		
Organisation Street Address:		
	State:	Postcode:
Preferred Postal Address:		
	State:	Postcode:
Organisational Direct Number:		
Email Address:		

Note: Certificates will be issued in electronic format to the email address above.

March 2023

2. SELECTION & FEES

(All fees are quoted in Australian Dollars)

Subject Enrolment		Individual Member	Member Firm	Non-Member
Securities & Managed Investments (online exam only)		□ \$590	□ \$590	□ \$790
Core 1 - Securities & Managed Investments (online exam & case study assignment)		□ \$790	□ \$790	□ \$990
Fundamentals of Securities (online exam only)		□ \$490	□ \$490	□ \$490
Derivatives Adviser Level 1 (online exam & case study assignment)		□ \$370	□ \$380	□ \$390
Derivatives Adviser Level 2 (online exam only)		□ \$320	□ \$330	□ \$340
Derivatives Adviser Level 1 & 2 Note: Candidates have twelve (12) months to complete Derivatives Adviser Level 1 and Derivatives Adviser Level 2 when enrolling at the same time (not three (3) months as per single enrolment)		□ \$690	□ \$710	□ \$730
Margin Lending (online exam only, includes 1 free resit)		□ \$370	□ \$370	□ \$390
Foreign Exchange (online exam only)		□ \$470	□ \$490	□ \$520
DTR Cash Equities (online exam & oral assessment)		□ \$690	□ \$690	□ \$690
DTR Derivatives (online exam & oral assessment)		□ \$690	□ \$690	□ \$690
Margin Lending (please contact online exam re-sit)	our office to schedule your			
Online Re-sit: Exam (includes 3 re-	sits)			
 Core 1 Exam Fundamentals of Securities 	 Securities & Managed Investments ADA 1 	□ \$250	□ \$250	□ \$250
□ ADA 2	□ Foreign Exchange			
DTR Cash Equities Exam	DTR Derivatives Exam			
Resubmission: Assignment/Skills		□ \$250	□ \$250	□ \$250
Core 1 Assignment				
Derivatives Adviser Level 1 Assi	gnment			
If unable to arrange a supervisor, S online exam to be remotely superv	•	□ \$75	□ \$75	□ \$75
	TOTAL:	\$	\$	\$

3. COMPULSORY APPOINTMENT OF AN INDEPENDENT SUPERVISOR

All online examinations require a supervisor to be appointed prior to sitting for their online exam/s. Please refer to the Supervisor Guidelines on our website.

4. TO PAY BY CREDIT CARD (all fees must be paid at the time of enrolment)

Please call our office on 02 8080 3200 and our team will process your payment.

ALL ENQUIRIES & ENROLMENT FORMS SHOULD BE DIRECTED IN THE FIRST INSTANCE TO:

Stockbrokers and Investment Advisers Association (ACN 089 767 706) Level 2, 74 Pitt Street, Sydney NSW 2000 Telephone: (02) 8080 3200 Fax: (02) 8080 3299 Email: accreditation@stockbrokers.org.au Website: www.stockbrokers.org.au

SIAA CANCELLATION

Please ensure that the course you intend to enrol in is one you are required to undertake and are committed to completing, as fees are non-refundable. Substitutes are welcome within the enrolment period.