



APPLICATION FOR MEMBERSHIP FEE REDUCTION (RETIRED)

A reduced fee applicable to Retired Membership is offered to those who are fully retired from employment, will not be returning to paid employment and have a nil personal exertion income*.

**Personal exertion income — income derived directly from the effort of a person, such as labour or skill.*

1. APPLICANT DETAILS

Title _____ First Name(s) _____

Surname _____ Date of Birth _____

Home Address _____

_____ State _____ Postcode _____

Mobile _____

Email _____

2. UNTIL RETIREMENT I WAS:

	Select Yes or No	
	YES	NO
a Practitioner Member	<input type="checkbox"/>	<input type="checkbox"/>
employed by a Principal Member of SIAA	<input type="checkbox"/>	<input type="checkbox"/>

Please advise the name of the Principal Member _____

Please note that only Practitioner Members can take advantage of the Retired Member reduced fee. If you were employed by a Principal Member, you would need to apply for Practitioner (individual) membership, but it would be offered at the Retired Member reduced fee. You will need to contact membership@stockbrokers.org.au or on (02) 8080 3201 to discuss.

3. RETIRED MEMBERSHIP RATE CONDITIONS

- You need to apply once for this rate of membership.** The retired rate of membership will be applied to subsequent years of membership. Your application needs to be approved by the Board.
- Stockbrokers and Investment Advisers Association (SIAA) may request documentation to support your request at the time of application or any time during the current membership year.

***You may have to re-apply for this membership rate option should a change in circumstances (such as a return to income generation) occur.*



4. MEMBERSHIP FEE REDUCTION REQUIREMENTS — please confirm you meet the following requirements:

	Select Yes or No	
	YES	NO
i. I am fully retired from employment; will not be returning to paid employment and will notify the association (SIAA) within 14 days if my income situation changes.	<input type="checkbox"/>	<input type="checkbox"/>
ii. I have a nil personal exertion income and I will notify the association (SIAA) within 14 days if my income situation changes.	<input type="checkbox"/>	<input type="checkbox"/>

Please note that should you return to paid employment or your income situation changes, SIAA may require you to complete a new member application.

5. CPD REQUIREMENT

Compulsory CPD is not applicable to retired members. However, should your situation change resulting in you returning to gainful employment, you must notify SIAA within 14 days, as this will affect your CPD requirement.

6. APPLICANT DECLARATION

I confirm that the information supplied in this application is complete and correct. I understand that any false or misleading information may lead to loss of membership.

I agree to read and be bound by the terms and conditions of the Stockbrokers and Investment Advisers Association Constitution, Rules, Code of Ethical Conduct, and any policies and guidelines issued by the Stockbrokers and Investment Advisers Association (*as amended from time to time*).

I agree to be subject to the Stockbrokers and Investment Advisers Association Conduct Review and Disciplinary System.

I agree I will notify the association (SIAA) within 14 days if my income situation changes.

Signed by applicant: _____ Date _____

7. ANNUAL MEMBERSHIP FEE

Membership Type	Annual Membership Fee (incl. GST)
Retired Member	\$50

Please note that if you are a Practitioner Member, your postnominal remains the same, that is:

- If you are a Member of SIAA, your postnominal is MeSIAA
- If you are a Master Member of SIAA, your postnominal is MSIAA

Employees of Principal Members do not have postnominals.



8. PAYMENT DETAILS

- An invoice will be issued if your application is approved.
- You can call our office on 02 8080 3200 and our Member Services Manager will assist with payment.
- If you wish to pay by direct deposit, please ensure that you include the invoice number and your surname as the reference.
- The membership year runs from 1 October to 30 September every year.
- Membership fee is pro-rated.
- **If paying by credit card**, membership will automatically roll over after twelve months. Your membership fee will be charged to you at the time of renewal. You can choose to opt out of auto renew at any time in your Member Portal on the SIAA website.

9. CONSENT TO USE AND DISCLOSURE OF PERSONAL INFORMATION

I agree that this information may be used by the Stockbrokers and Investment Advisers Association in the following ways:

a) The Stockbrokers and Investment Advisers Association Website b) Any editorial or other publications including Stockbrokers and Investment Advisers Association newsletters and promotional material. I further consent that the Stockbrokers and Investment Advisers Association may, in order to assist in the administration of its services, disclose my personal information to my employer organisation, including but not limited to information regarding the status of my membership.

Signed by the applicant _____

Name _____ Date _____

All enquiries & applications should be directed to:

Stockbrokers and Investment Advisers Association Limited (ACN 089 767 706)

Level 2, 74 Pitt Street, Sydney, NSW 2000a

Telephone: (02) 8080 3200

Email: membership@stockbrokers.org.au

Website: www.stockbrokers.org.au

Membership is at the discretion of the Stockbrokers and Investment Advisers Association Board of Directors.

The Association complies with the Privacy Act 1988 in handling all information provided by members.