



Stockbrokers and Investment
Advisers Association
Serving the interests of investors



ASX / SIAA Scholarships for ADA 1 or ADA 2



ASX / SIAA Scholarships

ASX contact:

Graham O'Brien
Head of Equity Sales and Equity
Derivatives
Tel: +61 2 9227 0672
Email: graham.obrien@asx.com.au

SIAA Contact:

Silvana Eccles
Education & Operations Manager
Tel: +61 2 8080 3204
Email: silvana.eccles@stockbrokers.org.au

Contents

Introduction	3
ASX / SIAA Scholarship Program Details.....	3
ASX / SIAA Scholarship Eligibility Requirements.....	3
ASX / SIAA Scholarship Preparatory Workshops.....	4
Attestation	4

Introduction

The ASX, together with the Stockbrokers and Investment Advisers Association (SIAA), are offering ADA 1 and ADA 2 Scholarships. Scholarships are designed to help advisers expand their knowledge and understanding of derivative products. Each Scholarship is valued up to \$400 and covers the full cost of the ADA 1 or ADA 2 enrolment plus a complimentary one hour training session.

Scholarship candidates must meet ASX / SIAA Scholarship Eligibility Requirements – see below.

ASX / SIAA Scholarship Details

- > Successful Scholarship recipients will be enrolled in ADA 1 or ADA 2.
- > Each scholarship recipient can enrol in a complimentary ADA1 and/or ADA2 one-hour training session delivered online by Graham O'Brien. Please email accreditation@stockbrokers.org.au to find out the schedule for upcoming training sessions.
- > Successful completion of ADA 1 or ADA 2 is expected. Any subsequent re-sit costs to be covered by adviser.
- > All Scholarships are to be approved by ASX. Simply contact ASX or apply directly through SIAA (see over).

ASX / SIAA Scholarship Eligibility Requirements

Scholarship Applicants must be:

- > an employee or authorised representative of an ASX Market Participant; or an AFSL with appropriate sign-off from the ASX Market Participant they use to access the market; and
- > be ASIC RG 146 compliant; and
- > be actively advising or handling client queries in equities or derivatives (ADA 1 or ADA 2 – sign off from compliance manager or authorised person must be provided); and
- > are to provide, in writing, how they plan to use knowledge learnt in the future.

Attestation

I hereby apply for one of the following Scholarships:

- ADA 1 or
 ADA 2

ASX Market Participant/AFSL
(Organisation) Name

Applicant's Name

Position

Business Address

State/Postcode

Email

No. of years experience equities

No. of years experience options
(ADA2 applicants only)

Details of how training will be
used in future

The Compliance Manager/Authorised Person acknowledges that the information provided by the applicant above is correct.

By making this application, the Applicant agrees that a requirement of this program is to successfully complete the course and exam. Any subsequent re-sit costs will be the responsibility of the applicant

Signed for and on behalf of the
ASX Market Participant by
Compliance Manager or
Authorised Person

Name and title of Compliance
Manager/Authorised Person

Applicant (Adviser) Signature

Applicant name and title

Date
