



Stockbrokers and Investment  
Advisers Association  
Serving the interests of investors



# ASX / SIAA Scholarships for ADA 1 or ADA 2

July 2022 to June 2023



## ASX / SIAA Scholarships

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# Introduction

The ASX, together with the Stockbrokers and Investment Advisers Association (SIAA), are offering ADA 1 and ADA 2 Scholarships. Scholarships are designed to help advisers expand their knowledge and understanding of derivative products. Each Scholarship is valued up to \$400 and covers the full cost of the ADA 1 or ADA 2 enrolment plus a complimentary one hour training session.

Scholarship candidates must meet ASX / SIAA Scholarship Eligibility Requirements – see below.

## ASX / SIAA Scholarship Details

- > The Scholarships are available to applicants between July 2022 and June 2023.
- > Successful Scholarship recipients will be enrolled in ADA 1 or ADA 2 (note: candidates cannot apply for both ADA 1 and ADA 2 in this Scholarship period).
- > Each Scholarship recipient will be enrolled in a complimentary ADA 2 one-hour training session. An ADA 1 training schedule is available on the SIAA website for viewing at your convenience. The training sessions are designed to assist Scholarship candidates understand derivative material and assist in passing assessments. The ADA 2 training session is one-hour and is scheduled as follows:
  - ADA 1 Training Session: via the [SIAA website](#)
  - ADA 2 Training session: Tuesday 6 December (12.30 to 1.30pm)
- > Successful completion of ADA 1 or ADA 2 is expected. Any subsequent re-sit costs to be covered by adviser.
- > All Scholarships are to be approved by ASX. Simply contact ASX or apply directly through SIAA (see over).

## ASX / SIAA Scholarship Eligibility Requirements

Scholarship Applicants must be:

- > an employee or authorised representative of an ASX Market Participant; or an AFSL with appropriate sign-off from the ASX Market Participant they use to access the market; and
- > be ASIC RG 146 compliant; and
- > be actively advising or handling client queries in equities or derivatives (ADA 1 or ADA 2 – sign off from compliance manager or authorised person must be provided); and
- > are to provide, in writing, how they plan to use knowledge learnt in the future.



# Attestation

I hereby apply for one of the following Scholarships:

ADA 1 or

ADA 2

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ASX Market Participant/AFSL

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(Organisation) Name

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Applicant's Name

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Position

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Business Address

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State/Postcode

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Email

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No. of years experience equities

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No. of years experience options

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(ADA2 applicants only)

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Details of how training will be  
used in future

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**The Compliance Manager/Authorised Person acknowledges that the information provided by the applicant above is correct.**

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**By making this application, the Applicant agrees that a requirement of this program is to successfully complete the course and exam. Any subsequent re-sit costs will be the responsibility of the applicant**

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Signed for and on behalf of the  
ASX Market Participant by  
Compliance Manager or  
Authorised Person

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Name and title of Compliance  
Manager/Authorised Person

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Applicant (Adviser) Signature

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Applicant name and title

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Date

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