



### Application for membership fee reduction (retired)

A reduced fee applicable to Retired Membership is offered to those who are fully retired from employment, will not be returning to paid employment and have a nil personal exertion income\*.

\*Personal exertion income — income derived directly from the effort of a person, such as labour or skill.

#### 1. Applicant details

Title \_\_\_\_\_ First Name(s) \_\_\_\_\_

Surname \_\_\_\_\_ Date of Birth \_\_\_\_\_

Home Address \_\_\_\_\_

\_\_\_\_\_ State \_\_\_\_\_ Postcode \_\_\_\_\_

Mobile \_\_\_\_\_

Email \_\_\_\_\_

#### 2. Until retirement I was:

	Select Yes or No	
	YES	NO
a Practitioner Member	<input type="checkbox"/>	<input type="checkbox"/>
employed by a Principal Member of SAFAA	<input type="checkbox"/>	<input type="checkbox"/>

Please advise the name of the Principal Member.....

Please note that only Practitioner Members can take advantage of the Retired Member reduced fee. If you were employed by a Principal Member, you would need to apply for Practitioner (individual) membership, but it would be offered at the Retired Member reduced fee. You will need to contact [membership@stockbrokers.org.au](mailto:membership@stockbrokers.org.au) or on (02) 8080 3201 to discuss.

#### 3. Retired membership rate conditions

- You need to apply once for this rate of membership.\*\* The retired rate of membership will be applied to subsequent years of membership. Your application needs to be approved by the Board.
- Stockbrokers and Financial Advisers Association (SAFAA) may request documentation to support your request at the time of application or any time during the current membership year.

\*\*You may have to re-apply for this membership rate option should a change in circumstances (such as a return to income generation) occur.

**4. Membership fee reduction requirements — Please confirm you meet the following requirements**

Membership fee reduction requirements	Select Yes or No	
	YES	NO
i. I am fully retired from employment; will not be returning to paid employment and will notify the association (SAFAA) within 14 days if my income situation changes.	<input type="checkbox"/>	<input type="checkbox"/>
ii. I have a nil personal exertion income and I will notify the association (SAFAA) within 14 days if my income situation changes.	<input type="checkbox"/>	<input type="checkbox"/>

*Please note that should you return to paid employment or your income situation changes, SAFAA may require you to complete a new member application.*

**5. CPD requirement**

Compulsory CPD is not applicable to retired members. However, should your situation change resulting in you returning to gainful employment, you must notify SAFAA within 14 days, as this will affect your CPD requirement.

**6. Applicant declaration**

I confirm that the information supplied in this application is complete and correct. I understand that any false or misleading information may lead to loss of membership.

I agree to read and be bound by the terms and conditions of the Stockbrokers and Financial Advisers Association Constitution, Rules, Code of Ethical Conduct, and any policies and guidelines issued by the Stockbrokers and Financial Advisers Association *(as amended from time to time)*.

I agree to be subject to the Stockbrokers and Financial Advisers Association Conduct Review and Disciplinary System.

I agree I will notify the association (SAFAA) within 14 days if my income situation changes.

Signed by applicant \_\_\_\_\_ Date \_\_\_\_\_

**7. Annual Membership Fee**

Membership Type	Annual Membership Fee (incl. GST)
Retired Member	\$200

Please note that if you are a Practitioner Member, your postnominal remains the same, that is:

- If you are a Member of SAFAA, your postnominal is MeSAFAA
- If you are a Master Member of SAFAA, your postnominal is MSAFAA

Employees of Principal Members do not have postnominals.



## 8. Payment details

Mastercard     Visa Card     American Express

Card Number: \_\_\_\_\_ / \_\_\_\_\_    Expiry Date: \_\_\_\_ / \_\_\_\_    CVV: \_\_\_\_\_

Cardholders Name: \_\_\_\_\_    Total: \$ \_\_\_\_\_

Cardholder's Signature: \_\_\_\_\_

***Payment details must be supplied at time of application and are not saved on file by SAFAA. Card will be charged and a tax receipt issued when the application is approved.***

## 9. Consent to use and disclosure of personal information

I agree that this information may be used by the Stockbrokers and Financial Advisers Association in the following ways:  
a) The Stockbrokers and Financial Advisers Association Website b) Any editorial or other publications including Stockbrokers and Financial Advisers Association newsletters and promotional material. I further consent that the Stockbrokers and Financial Advisers Association may, in order to assist in the administration of its services, disclose my personal information to my employer organisation, including but not limited to information regarding the status of my membership.

Signed by the applicant \_\_\_\_\_

Name \_\_\_\_\_ Date \_\_\_\_\_

## Enquiries & applications should be directed to:

Stockbrokers and Financial Advisers Association Limited (ACN 089 767 706)  
Level 5, 56 Pitt Street, Sydney NSW 2000  
Telephone: (02) 8080 3200  
Email: [membership@stockbrokers.org.au](mailto:membership@stockbrokers.org.au)  
Website: [www.stockbrokers.org.au](http://www.stockbrokers.org.au)

***Membership is at the discretion of the Stockbrokers and Financial Advisers Association Board of Directors.***

***The Association complies with the Privacy Act 1988 in handling all information provided by members.***