

KEY SPEAKERS INCLUDE



The Hon Chris Bowen MP
Minister for Financial Services,
Superannuation & Corporate Law



Belinda Gibson
Deputy Chairman
ASIC



Mark Johnson
Chairman
AGL



Luke Hartsuyker MP
Shadow Minister for Consumer
Affairs, Financial Services,
Superannuation & Corporate Law



John Stanhope
Chief Financial Officer
Telstra



Mitchell H Hooke
Chief Executive
Minerals Council of Australia



Lindsay Fox AC
Founder
Linfox



Jillian Broadbent AO
Member of the Board
Reserve Bank of Australia



Lance Hockridge
CEO
QR Limited



Jeremy Cooper
Chair
Super System Review



Greg Yanco
Senior Executive Leader – Market
Participants, ASIC



Stuart Crosby
President & CEO
Computershare



Ravi Narain
Managing Director
National Stock Exchange of India



Eric Mayne
Chief Supervision Officer
ASX Market Supervision



David Lawrence
General Manager – Participants
ASX Market Supervision



Richard Murphy
General Manager, Equity Markets
ASX



Jonathan Slone
Chairman and CEO
CLSA Asia-Pacific Markets



John Borghetti
CEO and Managing Director
Virgin Blue Airlines



John Gillam
CEO
Bunnings Warehouse

PROGRAMS AT 18 MAY 2010

2010 Annual Stockbrokers Conference

Tuesday 8 & Wednesday 9 June 2010

Crown Promenade, Melbourne

CPD hours: 16 (includes 6 Compliance CPD Hours)



REGISTRATION BROCHURE



Stockbrokers

Association of Australia

Incorporating SDIA

2010 Annual Stockbrokers Conference



Dear Colleagues

It is my pleasure to invite you to attend the 2010 Annual Stockbrokers Conference in Melbourne from Monday 7 to Wednesday 9 June 2010.

The Annual Stockbrokers Conference is an important event for our industry group and will address many topical issues facing the market and market participants today. Sincere thanks must go to our speakers and to our sponsors for their generous support and assistance in making the event possible.

Attending this event will earn you up to 16 Continuing Professional Development (CPD) Hours of which 6 are Compliance CPD Hours.

I am also pleased to invite you to play in the 7th Annual Stockbrokers Charity Golf Day which forms part of the Annual Conference. The Charity Golf Day is on Monday 7th June at Royal Melbourne Golf Club – recognized as one of the pre-eminent golf courses in the world and a leading golf club in Australia. All profit from the event is donated to the Heartwell Foundation.

Please note that the Stockbrokers Association is offering considerable discounts when groups of 6 or more people register. Groups must register online at www.stockbrokers.org.au/conference to take advantage of this discount.

The Annual Stockbrokers Conference presents a timely opportunity for you to become a Stockbrokers Association Practitioner Member (Individual Member). Practitioner Members are entitled further discounts on their conference registration fees and can also attend various events throughout the year at reduced rates. To become a Practitioner Member, simply call Gauri 'G' Wallia today on (02) 8080 3200 or email gwallia@stockbrokers.org.au to request an application form.

Don't delay your registration for the Annual Stockbrokers Conference. The Early Bird Discount closes on Friday 30 April 2010. Please register now online at www.stockbrokers.org.au/conference or by completing the registration form on the back page of this brochure and fax to (02) 8080 3299 or post to Stockbrokers Association of Australia, PO Box R1461 Royal Exchange NSW 1225.

I look forward to seeing you at the 2010 Annual Stockbrokers Conference in Melbourne.

David W Horsfield MSA
Managing Director & CEO

Social Events

7th Annual Stockbrokers Charity Golf Day

Monday 7 June 2010

9:00am – 4:30pm

Royal Melbourne Golf Club

SPONSORED BY



Welcome Drinks

Monday 7 June 2010

5:00pm – 7:30pm

Tonic Bar

Crown Promenade Hotel

Cocktail Reception

Tuesday 8 June 2010

6:00pm – 9:00pm

Lumia, Ground Level

Crown Entertainment Complex

SPONSORED BY



Executive Breakfast

Wednesday 9 June 2010

7:30am – 9:00am

Crown Promenade Hotel

Compliance Breakfast

Wednesday 9 June 2010

7:30am – 9:00am

Crown Promenade Hotel

SPONSORED BY

Blake Dawson

Gold Sponsor



Gold Sponsor



Compliance Breakfast

Blake Dawson

Bronze Sponsor



Airline Partner



Charity Golf Day



Media Partner

THE AUSTRALIAN

Conference Program



Monday 7 June 2010

- 09:00 7th Annual Stockbrokers Charity Golf Day Sponsored by Religare Technova
- 16:30 Early Registration for the 2010 Annual Stockbrokers Conference
- 17:00 Welcome Drinks, Tonic Bar, Crown Promenade Hotel

Tuesday 8 June 2010

- 08:30 Stockbrokers Association Chairman's Welcome: Rob Thomas MSAA
- 08:50 **MINISTERIAL ADDRESS:** Luke Hartsuyker MP, Shadow Minister for Consumer Affairs, Financial Services, Superannuation & Corporate Law
- 09:30 **KEYNOTE:** John Gillam, Chief Executive Officer, Bunnings Warehouse
- 10:10 Morning Tea
- 10:20 **KEYNOTE:** Jillian Broadbent AO, Member of the Board, Reserve Bank of Australia
- 11:00 **C** **KEYNOTE:** Jeremy Cooper, Chair, Super System Review
- 11:40 **MINISTERIAL ADDRESS:** The Hon Chris Bowen MP, Minister for Financial Services, Superannuation & Corporate Law
- 12:20 Lunch
- 13:00 **KEYNOTE:** Lance Hockridge, Chief Executive Officer, QR Limited
- 13:40 **C** Eric Mayne, Chief Supervision Officer, ASX Market Supervision
- C** David Lawrence, General Manager – Participants, ASX Market Supervision
- 14:20 **KEYNOTE:** Jonathan Slone, Chairman and CEO, CLSA Asia-Pacific Markets
- 15:00 Afternoon Tea
- 15:20 **KEYNOTE:** Lindsay Fox AC, Founder, Linfox
- 16:00 **KEYNOTE:** John Borghetti, Chief Executive Officer and Managing Director, Virgin Blue Airlines
- 16:40 **C** **KEYNOTE:** Ravi Narain, Managing Director, National Stock Exchange of India
- 17:20 Day One Closing Remarks: David Horsfield MSAA, Managing Director & CEO, Stockbrokers Association
- 18:00 Cocktail Reception, Lumia, Ground Level, Crown Entertainment Complex, Sponsored by GBST

TOTAL CPD HOURS FOR DAY ONE: 8.5 : TOTAL COMPLIANCE CPD HOURS FOR DAY ONE: 2.5

Wednesday 9 June 2010

- 07:30 **C** Executive Breakfast: Stockbrokers Association of Australia
- C** Compliance Breakfast: Sponsored by Blake Dawson
- 09:00 MD's Remarks: David Horsfield MSAA, Managing Director & CEO, Stockbrokers Association
- 09:20 **KEYNOTE:** Mitchell H Hooke, Chief Executive, Minerals Council of Australia
- 10:00 **C** Belinda Gibson, Deputy Chairman, ASIC
- Greg Yanco, Senior Executive Leader – Market Participants, ASIC
- 10:40 Morning Tea
- 11:10 **C** Alison Maynard, Ombudsman, Investments, Life Insurance and Superannuation, Financial Ombudsman Service Limited
- 11:50 **KEYNOTE:** John Stanhope, Chief Financial Officer, Telstra
- 12:30 Lunch
- 13:30 **KEYNOTE:** Stuart Crosby, President & Chief Executive Officer, Computershare
- 14:10 **KEYNOTE:** Mark Johnson, Chairman, AGL
- 14:50 Afternoon Tea
- 15:10 **C** Richard Murphy, General Manager, Equity Markets, ASX
- 15:50 Bryan Davies, CEO, Davies & Partners
- 16:30 Conference Closing Remarks: David Horsfield MSAA, Managing Director & CEO, Stockbrokers Association

TOTAL CPD HOURS FOR DAY TWO: 7.5 : TOTAL COMPLIANCE CPD HOURS FOR DAY TWO: 3.5

Information for Delegates



Group Discounts

The Stockbrokers Association recommends the appointment of a Conference Coordinator within your firm to coordinate your group registration for the 2010 Annual Stockbrokers Conference. This will ensure that your staff and colleagues are able to take advantage of all discounts available to them. Group registrations apply when all staff members from the same firm register and pay at the same time. Group discounts are in addition to early bird and individual member discounts that may already apply. Please register your group online via www.stockbrokers.org.au. Enquiries please call the Stockbrokers Association of Australia on (612) 8080 3200 or email info@stockbrokers.org.au

Groups are required to register online for the Group Discount to apply

| | |
|---------------------------------|-----------------------|
| Group of 6-10 people inclusive | Save \$150 per person |
| Group of 11-20 people inclusive | Save \$200 per person |
| Group of 21 people or more | Save \$250 per person |

Partners

Your partner is welcome to accompany you to the evening drinks functions free of charge. As a courtesy, would you please advise us if your partner will be attending via the registration form.

Flights

Special offer to the first 150 delegates to register....

Virgin Blue is a proud supporter of the Stockbrokers Association of Australia and would like to offer Lounge Access to the first 150 delegates that register for the 2010 Annual Stockbrokers Conference. This offer is valid for delegates who will be travelling from interstate and have booked a Virgin Blue return flight. (Ex SYD, BNE, CBR, PER, ADL).

To book your flights...

Please visit www.stockbrokers.org.au/conference to book your Virgin Blue flights to Melbourne online.

Stockbrokers Association Member Discounts

Individual Member Discount applies to the following categories: Affiliate, Associate, Member and Master. Organisational Member Discount applies to employees of corporate members: Principal and Affiliate. To apply for membership or request further information, please call Membership Services Manager, Gauri Wallia on (02) 8080 3200 or email gwallia@stockbrokers.org.au

Accommodation

Please quote 'Stockbrokers Association Conference' to secure the discounted group rates at these hotels:

Crown Towers

Book online via the Stockbrokers Association website www.stockbrokers.org.au or call Reservations on (613) 9292 6868 or 1800 776 612 (toll free within Australia) or email reservations@crowntowers.com.au. *Deluxe Room: \$310 including GST*

Breakfast is offered at Crown Towers in the Conservatory for an additional \$38.50 per person.

Crown Promenade Hotel

Book online via the Stockbrokers Association website www.stockbrokers.org.au or call Reservations on (613) 9292 6688 or 1800 776 612 (toll free within Australia) or email reservations@crownpromenade.com.au. *Standard Room: \$250 including GST*

Breakfast is offered at Crown Promenade in the Mesh Restaurant for an additional \$28.50 per person.

All rates are 'Room Only' per room per night.

A credit card must be provided to secure your booking. All reservations are made subject to availability so please remember to book early.

Continuing Professional Development (CPD) Hours

CPD hours are offered to delegates who attend the Annual Stockbrokers Conference. If you would like to earn CPD Hours for attending the keynote presentations, you will need to complete the corresponding assessment papers for each day of the program. The maximum number of CPD Hours you can earn is 16. This includes 6 Compliance CPD hours of which 1.5 Compliance CPD Hours are awarded for attending one of the breakfast functions.

CPD assessment papers will be provided in the conference rooms and completed assessment papers will need to be handed in at the end of each day to a Stockbrokers Conference representative. A CPD statement will be mailed to you in the weeks following the 2010 Annual Stockbrokers Conference.

Earn up to 16 CDP hours, 6 Compliance CPD

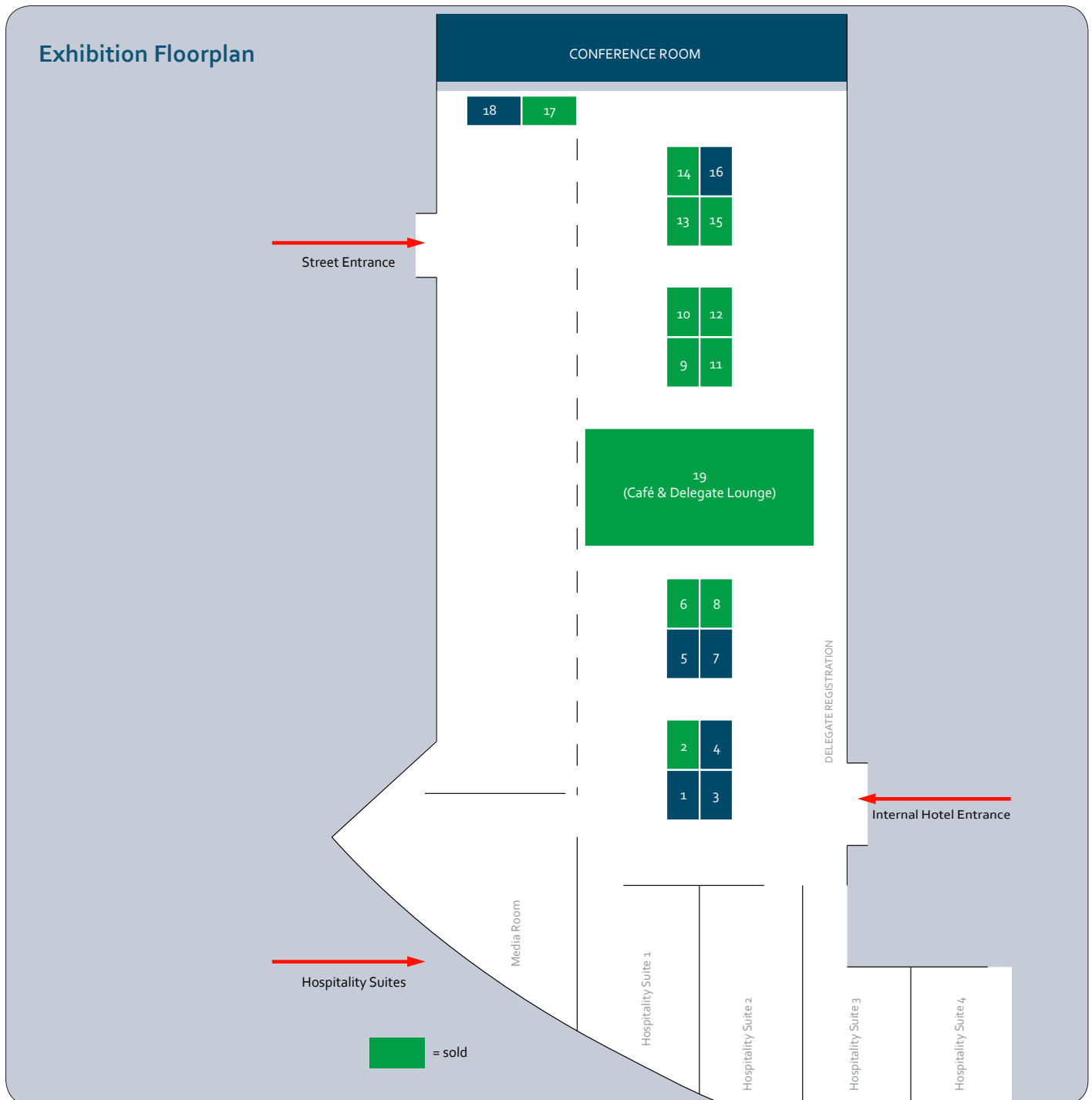
Sponsorship & Exhibition Opportunities



The Stockbrokers Association offers sponsors the opportunity to align themselves with Australia's peak industry body representing stockbroking and investment banking professionals at the 2010 Annual Stockbrokers Conference.

Exhibition stands are always a popular opportunity for brand awareness at this industry event and they usually sell out fast. Booths are priced at \$6500 (ex GST) per 6m² and includes the shell scheme package.

To book your exhibition stand for the 2010 Annual Stockbrokers Conference or to inquire about sponsorship, please complete the information form on the reverse and send to Ivana Collura at the Stockbrokers Association of Australia via email at icollura@stockbrokers.org.au or on (612) 8080 3206.



Exhibitor Booking Form



The 2010 Annual Stockbrokers Conference presents an excellent opportunity to display your products and services to qualified decision makers from stockbroking and wealth management firms and investment banks across Australia. Opportunities are available to sponsor and/or exhibit at this annual industry event.

To secure your exhibition space or to request a sponsorship proposal, please complete the form below and return to Ivana Collura at icollura@stockbrokers.org.au or fax (612) 8080 3299.

Company Name:

ABN:

Name:

Position:

Address:

Email:

Telephone:

Fax:

Mobile:

Preferred Contact Method:

Phone

Fax

Email

(please circle)

Please provide information on:

Please tick

Sponsorship of the Annual Stockbrokers Conference

Exhibition Space including shell scheme

Exhibition Space for custom built stand

Prize donation at Charity Golf Day

Please indicate your exhibition space preferences:

1st _____ 2nd _____ 3rd _____

REGISTRATION FORM

2010 Annual Stockbrokers Conference



GROUP DISCOUNTS APPLY. Please register groups online via the Stockbrokers Association website.
PO Box R1461 Royal Exchange NSW 1225 | ENQUIRIES (02) 8080 3200 | FAX (02) 8080 3299 | www.stockbrokers.org.au

PERSONAL DETAILS

Dr Mr Mrs Ms Miss Post nominal _____

Name: _____ Surname: _____

Position: _____ Organisation: _____

Address: _____

City: _____ State: _____ Postcode: _____

Tel: _____ Mobile: _____

Fax: _____ Email: _____

Partner's Name: (if accompanying delegate to evening functions) _____

Special Dietary Requirements: _____

Are you a member of the Stockbrokers Association Board, a Committee or Working Group? Yes No Do you require CPD assessment? Yes No

REGISTRATION FEES & OPTIONS

| INDIVIDUAL MEMBER REGISTRATION | ORGANISATIONAL MEMBER REGISTRATION | NON-MEMBER REGISTRATION |
|--|--|--|
| <input type="checkbox"/> Early Bird Registration* \$1550 | <input type="checkbox"/> Early Bird Registration* \$1780 | <input type="checkbox"/> Early Bird Registration* \$2750 |
| <input type="checkbox"/> Standard Registration \$1650 | <input type="checkbox"/> Standard Registration \$1880 | <input type="checkbox"/> Standard Registration \$2850 |

*EARLY BIRD DISCOUNT CLOSURES FRIDAY 30 APRIL 2010

EVENING FUNCTIONS

To assist us with catering and seating arrangements, please indicate by ticking the box if you would like to be included in the following:

Welcome Drinks Monday 7 June 5:00pm – 7:30pm Cocktail Reception Tuesday 8 June 6:00pm – 9:00pm

7th ANNUAL STOCKBROKERS CHARITY GOLF DAY, Monday 7 June 2010, Royal Melbourne Golf Club

Yes, I am interested in playing/entering a team of 4 players. Please send me further details.

STOCKBROKERS ASSOCIATION BREAKFAST MEETINGS FOR MEMBERS, Wednesday 9 June, 7:30am – 9:00am

Executive Breakfast – by invitation to heads of member firms Compliance Breakfast – by invitation to heads of compliance

METHOD OF PAYMENT

Payment must be included with your registration. All prices include GST.

Attach cheque made payable to the Stockbrokers Association of Australia or provide credit card* details: Visa Mastercard AMEX Diners Club

Cardholders Name: _____ Card Number: _____

Total Amount*: \$ _____ Expiry Date: _____ Signature: _____

This document becomes a Tax Invoice for GST purposes upon completion and payment. Please photocopy and retain for your records.

* Please note that merchant fees apply to credit card payments.

CANCELLATIONS: A \$150 (+GST) administration charge applies to all cancellations received in writing to info@stockbrokers.org.au by Friday 7 May 2010. After Friday 7 May 2010, NO refunds will be given. The Stockbrokers Association must be notified in writing when sending a substitute. NON-ATTENDANCE: If a delegate fails to attend, fees will not be refunded or allocated to another event. DELEGATE ATTENDANCE CONDITIONS: Completion of the delegate registration form binds you to the following terms and conditions, which are applicable to the duration of your stay at Crown Promenade Hotel, Crown Towers and the Crown Entertainment Complex: The conference venue reserves the right to remove from their premises any person who behaves in an unreasonable manner. To the extent permissible by law, the Stockbrokers Association accepts no responsibility for such an action and is under no obligation to refund any portion of the delegate registration fee, accommodation or travel expenses for such action. Conference delegates will assume all risk for damages to their person or property arising out of attendance. To the extent permissible by law, the Stockbrokers Association will not be responsible for loss suffered by any delegate as the result of any goods or services provided by the conference venue. Your employer will indemnify the Stockbrokers Association against all property damage and/or personal injury, which is caused by a delegate, sponsor or exhibitor or their agents. Employers should ensure that they have sufficient insurance to cover this potential liability. The Stockbrokers Association does not have a duty of due diligence with respect to presenters or sponsors or exhibitors, and makes no endorsement of any presenter or product. The Stockbrokers Association reserves the right to amend the agenda. The Stockbrokers Association reserves the right to postpone or cancel this event at any time. Full refunds or transfers will be given in this instance. The Stockbrokers Association will not be held liable for any costs incurred by the attendee if the program is cancelled or postponed.

I AGREE to the above Disclaimer and Attendance Conditions

The Stockbrokers Association may share information from our database with our event sponsors and exhibitors. Please indicate here if you do not wish your details to be passed on to our sponsors and exhibitors.

7th Annual Stockbrokers Charity Golf Day

Monday 7 June 2010

Royal Melbourne Golf Club



© Gary Lisbon

The Stockbrokers Association of Australia invites you to enter a team in the 7th Annual Stockbrokers Charity Golf Day.

Sponsored by Religare Technova Global Solutions, the 7th Annual Stockbrokers Charity Golf Day is to be held at Royal Melbourne Golf Club in Black Rock Victoria – recognised as one of the pre-eminent golf courses in the world and a leading golf club in Australia.

The Stockbrokers Association Cup will be awarded to the team that finishes in first place in this Ambrose Competition. Some fantastic individual prizes can also be won by those who also enter our fundraising competitions on the day. Stockbrokers Association Cup – 2009 Title Holder: RBS

The Stockbrokers Association of Australia proudly supports the Heartwell Foundation, a community-based rehabilitation and education program for children with special needs. Heartwell empowers children to lead a better quality of life by developing their skills and improving their health, self esteem and confidence to participate with their peers.

****PLACES ARE STRICTLY LIMITED – BOOK NOW****

Registration and player briefing at 9.00am. Shot gun start at 10.00am. Drinks and presentation of prizes following the game, concluding approximately 4.30pm. Fees include morning tea on arrival, lunch on course, snacks, and drinks and canapés following the game. Equipment hire will be available on the day.

For enquiries please
call (02) 8080 3200

To register, please fax your
completed form to (02) 8080 3299,
post to PO Box R1461 Royal Exchange
NSW 1225 or email Fran Lyons at
flyons@stockbrokers.org.au

PROUDLY SPONSORED BY



PROUDLY SUPPORTING



REGISTRATION FORM Dr Mr Mrs Ms Miss

| | | | |
|-----------------|--|---------------------|--------------|
| Name: | | Surname: | |
| Position: | | Organisation: | |
| Address: | | City: | State: |
| Tel: | | Fax: | Email: |

| NAME OF PLAYERS | Email | Phone | Handicap | Transport* (Please tick) |
|-----------------|-------|-------|----------|-----------------------------|
| 1. | | | | |
| 2. | | | | |
| 3. | | | | |
| 4. | | | | |

* Will transport to & from golf course be required?

REGISTRATION FEE Individual: \$250 + \$25 gst = \$275 Team: \$1000 + \$100 gst = \$1100

METHOD OF PAYMENT

Attach cheque made payable to the Stockbrokers Association of Australia or provide credit card* details: Visa Mastercard AMEX Diners Club

| | | | |
|-------------------------|--|--------------------|------------------|
| Cardholders Name: | | Card Number: | |
| Total Amount*: \$ | | Expiry Date: | Signature: |

CANCELLATIONS: The Stockbrokers Association will only reimburse registration fees if the event is cancelled. The Stockbrokers Association is not responsible for any costs incurred.

*Please note merchant fees apply to credit card payments