

## SAA BOARD OF DIRECTORS



### **PETER STEPEK**

Peter Stepek is Company Secretary and a Consultant Policy Executive to the Stockbrokers Association of Australia (SAA). Peter graduated from Sydney University with a Bachelor of Arts/Law in 1980, and has over 25 years experience in regulatory, legal and stockbroking fields.

He has been with the Stockbrokers Associations (previously known as the SDIA) since October 2007. In addition to policy analysis, Peter is also engaged in preparing and delivering training workshops for the SAA on market-related topics.

From 1998 – 2007, Peter worked in investment banking and stockbroking, as Deputy Head of Compliance at Deutsche Bank and Credit Suisse, and Head of Compliance at UBS Investment Bank.

From 1991-1998, Peter was with ASIC as the Regional General Counsel for NSW, and led a number of high profile matters dealing with market misconduct, insider trading and corporate governance issues.