

ACCREDITATION & TRAINING CALENDAR

March, April & May 2010

		CITY	DATE	TIME
RE SERIES WORKSHOPS	RE REFRESHER WORKSHOP: 4CPD (COMPLIANCE) This workshop provides a refresher on the ASX requirements applicable to REs and reviews some of the main topics in the ASX Responsible Executive Exam. Intended as a refresher course for existing REs who have already passed the Exam, this workshop could also be of interest to potential REs. Topics include ASX RE Management & Supervision Requirements (& ASIC RM comparisons); ASX Capital Adequacy, Records, Trust; Dealing & Client relations rules; ASX Disciplinary Processes; Corporations Act requirements.	MELB SYD	Thurs 4 Mar Wed 5 May	9:30am – 1:30pm 9am – 1pm
	RE EXAM PREPARATION COURSE: 10CPD (COMPLIANCE) This 2 x 3-hour intensive workshop (conducted over 2 days) covers the ASX/ACH RE exam syllabus in detail, ensuring that candidates are well prepared for the exam and know what to expect on the day. The 12 ASX topics are divided into 7 mini workshops.	SYD	24 & 25 Mar	9am – 12pm
	RE EXAM PREPARATION 'SHORT COURSE': 4CPD (COMPLIANCE) This 4-hour intensive workshop is a condensed version of the Stockbrokers Association 2-day RE Exam Preparation Workshop. It covers the ACH/ASX RE exam syllabus in detail, with 7 subject areas and 2 assessments during class time.	MELB SYD	Wed 14 Apr Tues 4 May	9:30am – 1:30pm 9am – 1pm
INTRODUCTORY SERIES WORKSHOPS	INTRODUCTION TO DERIVATIVES: 4CPD This half-day workshop is ideal preparation for the Professional Stockbrokers Program Derivatives Accreditation (and for ASX ADA ₁ exam candidates).	MELB BRIS SYD MELB	Thurs 11 Mar Wed 17 Mar Tues 11 May Thurs 13 May	9am – 1:30pm 9am – 1:30pm 9am – 1:30pm 9am – 1:30pm
	INTRODUCTION TO STOCKBROKING: 2.5CPD Ideal for those returning to, and for newcomers to the industry, back office, auxiliary and support personnel, this workshop covers the operation of financial markets, financial products, taxation, broking operations, regulation and an explanation of common acronyms & jargon.	MELB SYD MELB	Fri 12 Mar Wed 21 Apr Wed 19 May	9am – 12pm 9:30am – 12:30pm 9am – 12pm
	INTRODUCTION TO OPTIONS: 2CPD This 2-hour workshop covers options pricing, basic strategies and the mechanics of trading equity options on the ASX. This workshop is suitable for industry participants, including client advisers and back office staff, who have no previous options experience and who wish to acquire some understanding of options trading.	SYD BRIS MELB SYD	Tues 2 Mar Tues 20 Apr Wed 28 Apr Tues 18 May	9am – 11am 9am – 11am 9am – 11am 9am – 11am
	INTRODUCTION TO WARRANTS: 2CPD This 2-hour workshop covers the main types of warrants traded on the ASX, with particular focus on equity trading warrants and installments. Basic warrant pricing will be discussed and the role of the warrant issuer will be explained. Ideal for those who wish to acquire some basic knowledge about the Australian warrants market.	SYD MELB BRIS	Tues 30 Mar Thurs 22 Apr Tues 4 May	9am – 11am 9am – 11am 9am – 11am
	INTRODUCTION TO CONTRACTS FOR DIFFERENCE (CFD): 3CPD This 3-hour workshop focuses on the class of derivatives known as Contracts for Difference (CFDs). CFDs are a relatively recent addition to the available range of derivative products. In Australia, they are traded both directly with CFD providers and, since late 2007, on the ASX. While there is currently no regulatory requirement to hold specific accreditation to advise on CFDs, a sound knowledge of the different types of CFDs, their uses, and their risks and potential rewards will be valuable for advisers.	MELB SYD MELB	Tues 2 Mar Wed 10 Mar Thurs 6 May	9am – 12pm 9am – 12pm 9am – 12pm

