

PROFESSIONAL STOCKBROKERS PROGRAM – SUMMARY OF ASSESSMENT (as at 7 June 2010)

Online Examination

Topic	Core 1	Core 2	Derivatives	Managed Investments	Securities	Margin Lending	Superannuation
Number of Questions	60	60	60	20	20	40	25
Duration	2 hours	2 hours	2 hours	1 hour	1 hour	1.5 hours	1 hour
Reading Time	15 minutes	15 minutes	15 minutes	15 minutes	15 minutes	15 minutes	15 minutes
Multiple Choice	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Open/Closed Book	Closed	Closed	Closed	Closed	Closed	Open/Closed†	Closed
Pass Mark	70%	70%	70%	70%	70%	70%	70%

†At the discretion of the candidate and/or the candidates organisation/Licensee

Assignment

Topic	Core 1	Core 2	Derivatives	Managed Investments	Securities	Margin Lending	Superannuation
Assignment Style	Case Study	/	Case Study	/	/	/	Case Study
Number of Questions	8 Questions		9 Questions				8 Questions
Word Limit	*		*				*
Online Assignment	Yes		Yes				Yes

* There is no overall word limit for this assignment. However, when you have answered all the questions, you should then review and adjust your responses to be as concise as possible. Note that while you need to demonstrate competence in all areas, some questions may require more detailed responses than others.

Online Assignments: The assignment is completed online. To commence the assignment, download the assignment and the answer template from the online portal – subject room. To submit the completed assignment, upload the completed answer template to the online portal – subject room.